

RIKU ERKKILÄ / WHEN DOES AN AFFIX CEASE TO BE AN AFFIX? / AFFIXES SYMPOSIUM, UNIVERSITY OF TURKL

When does an affix cease to be an affix? The lexicalization of inflected closed-class nominals in some Uralic languages

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Background

- Many Uralic languages have large semantic case inventories
 - Spatial and non-spatial cases
 - Semantic cases, especially spatial cases, tend to have more than one sense
- All nominals (e.g., content nouns, adjectives, pro-forms, relational nouns) can be inflected for case in different degree
 - There can be some inconsistencies in the paradigms of closed-class items
 - All stem-case pairs cannot express all senses (e.g., temporal senses are restricted to stems referring to temporal entities)
- There is also an abundance of lexicalized/grammaticalized elements in Uralic languages where a stem and a semantic case form an indivisible unit
- At what point does a stem-case pair cease to be part of a productive paradigm and form a lexicalized/grammaticalized unit?



Productivity

- There have been suggestions for properties that are important for the productivity of (derivational) morphology (Bauer 2001: 34–98)
 - The existence, probability, and possibility of a word-form
 - Degree of lexicalization of the form
 - Frequency of the form
 - Transparency of the form
 - Regularity of the form
 - Attestation of the form
 - Naturalness of the process producing the form
 - Defaultness of the process
 - Creativity of the process
 - Paradigm pressure
 - Analogy



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Productivity (cont.)

- The properties refer to both morphological and semantic analyzability on both syntagmatic and paradigmatic levels
 - Can the form be divided to its morphological parts, and is the case form interchangeable with other cases in the paradigm
 - Can the meanings of the stem and the case be clearly separated, and does changing of the case produce a regular semantic change in the form
- In Cognitive Linguistics perspective one can speak of analyzability at the phonological and semantic pole of the expression (e.g., Taylor 2002: 281–287)
 - An expression is maximally analyzable at the phonological pole, if the form can be completely segmented to morphemes, i.e., there are no morphophonological processes involved
 - An expression maximally analyzable at the semantic pole, if the meaning of the form is the compounded meaning of the parts
 - There can be partial analyzability, or analyzability only at one of the poles
 - The properties of productivity contribute differently to analyzability at the different poles



Variation in the meaning of the stem

Case	Example	Translation
nominative	kõrv	'ear'
genitive	kõrva	'of ear'
partitive	kõrva	'ear'
inessive	kõrvas	'in ear'
elative	kõrvast	'from (inside) ear'
illative	kõrvasse	'into ear'
adessive	kõrval	'on ear'
ablative	kõrvalt	'from (outside) of ear'
allative	kõrvale	'onto ear'
essive	kõrvana	'as ear'
translative	kõrvaks	'(become) ear'
terminative	kõrvani	'until ear'
comitative	kõrvaga	'with ear'
abessive	kõrvata	'without ear'

- Content nouns show full inflectional paradigms (ex. Estonian kõrv 'ear')
- Cases can have multiple senses (e.g., spatial cases express time, and adessive, ablative, and allative express possession)



Variation in the meaning of the stem (cont.)

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genitive	kõrva	'of ear'
partitive	kõrva	'ear'
inessive	kõrvas	'in ear'
elative	kõrvast	'from (inside) ear'
illative	kõrvasse	'into ear'
adessive	kõrval	'next to'
ablative	kõrvalt	'from next to'
allative	kõrvale	'(to) next to'
essive	kõrvana	'as ear'
translative	kõrvaks	'(become) ear'
terminative	kõrvani	'until ear'
comitative	kõrvaga	'with ear'
abessive	kõrvata	'without ear'

- Relational nouns can be grammaticalize content nouns with reduced paradigms (ex. Estonian kõrv- 'vicinity')
- The stem has changed its meaning, but the meaning of the cases is the same as in content noun inflection
 - However, the secondary senses cannot be expressed



Reduced paradigm

Case	Example	Translation		
nominative	gurt	'village'	-	
accusative	gurtez	'village'	-	
genitive	gurtlen	'of village'	-	
ablative	gurtleś	'of village'	-	
dative	gurtli	'to/for village'	-	
caritive	gurttek	'without village'	-	
adverbial	gurtja	'of village'	-	
instrumental	gurten	'with village'	-	
inessive	gurtin	'at village'	ulijn	'under'
elative	gurtiś	'from village'	ulįś	'from under'
egressive	gurtiśen	'from village'	uliśen	'from under'
illative	gurte	'to village'	ule	'(to) under'
terminative	gurtoź	'until village'	uloź	'until under'
prolative	gurtti	'via village'	ulti	'(via) under'
approximative	gurtlań	'towards village'	ullań	'towards under'

- There are relational nouns that have lost all case inflection except the spatial cases (ex. Udmurt *ul*- 'under')
 - Spatial cases still form a productive paradigm
- The stem has lost its original content noun meaning, but the meaning of the cases is the same as in content noun inflection
 - However, some secondary senses cannot be expressed



Same form, different meaning

Case	Example	Translation		
nominative	kudo	'house'	meźe	'what'
genitive	kudoń	'of house'	meźeń	'of what'
partitive	kudodo	'house, from house'	meźd'e	'what'
dative	kudońeń	'for house'	meźńeń	'for what'
inessive	kudoso	'at house'	meźese	'where'
elative	kudosto	'from house'	meźeste	'from where'
illative	kudos	'to house'	meźes	'(to) where'
lative	kudov	'home, to house'	-	
prolative	kudova	'via house'	-	
translative	kudoks	'as house, (become) house'	meźeks	'why'
abessive	kudovtomo	'without house'	-	
comparative	kudoška	'as big as house'	-	

 A case can express totally different meanings with stems of different parts of speech (ex. Erzya meźeks 'why')



Same meaning, different form

Form	Meaning	Form	Meaning
pihana	'as yard'	-	
pihaa	ʻyard'	-	
pihaksi	'(become) yard'	-	
pihalla	'at yard'	takana	'behind'
pihalta	'from yard'	takaa	'from behind'
pihalle	'(to) yard'	taakse	'(to) behind'

- The paradigm can use totally different cases to express similar senses (ex. Finnish taka- 'behind')
 - The forms are (almost) identical with the essive, partitive, and translative case, but the spatial senses are closer to that of the outer local cases adessive, ablative, and allative



Same form, loss of paradigm

Form	Meaning	Form	Meaning
berijn	'behind'	*berijn	'after'
berijś	'from behind'	*berįś	'from after'
berijsen	'from behind'	??berijsen	'from after'
bere	'(to) behind'	bere	'after'
beroź	'until behind'	?beroź	'until after'
berti	'(via) behind'	*berti	'via after'

- A sense of a case can lose its paradigmatic status, even when identical form is a part of a paradigm (ex. Udmurt bere 'after')
 - The temporal sense is present in the illative, when it is used in content noun inflection



Lexicalized/grammaticalized forms

Fi kanssa 'with [people-INE]'

Fi päin 'against [head-INST]'

MdE/M odov, odu 'again [new-LAT]'

MdE kis 'because [road-ILL]'

Udm bere 'if, when [back-ILL]'

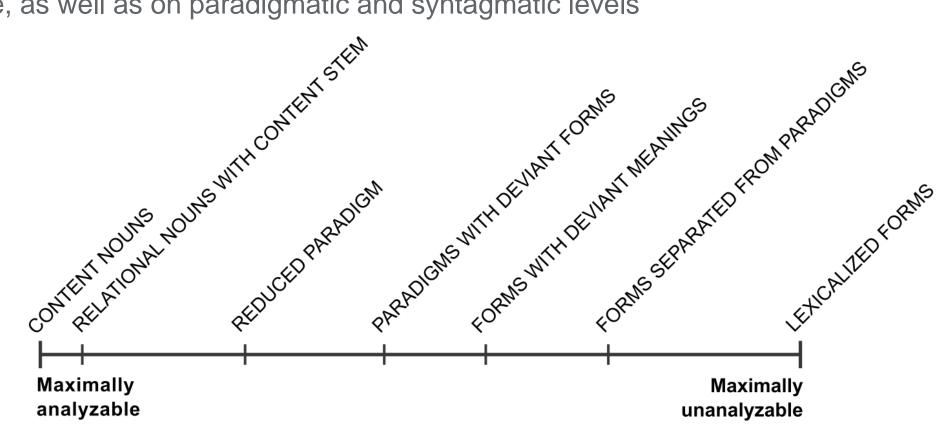
Udm śariś 'about, in comparison [?-ELA]'

- There are of course a myriad of lexicalized/grammaticalized forms that historically include a semantic case, but which are synchronically unsegmentable and more or less opaque
 - These forms can exhibit both formal and semantic properties that are not (entirely) predictable from the properties of the parts



Productivity continuum

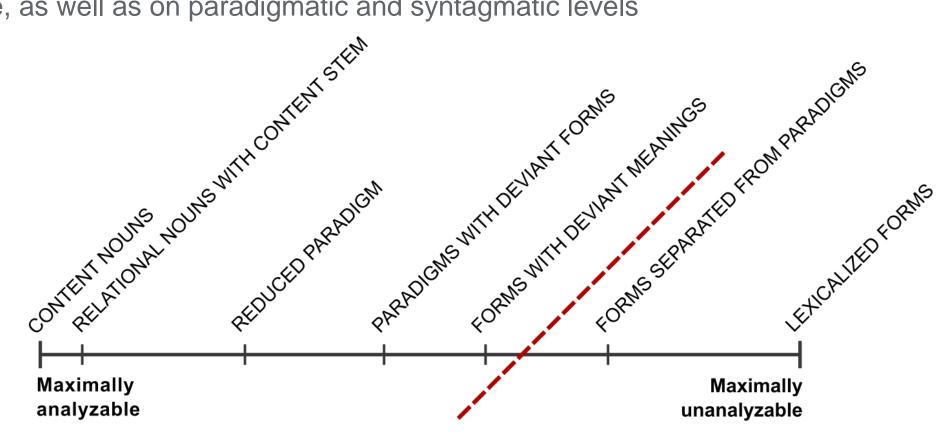
- The different types of forms form a cline from maximally analyzable to maximally unanalyzable
 - The more left the form is, the easier it is to analyze at both phonological and semantic pole, as well as on paradigmatic and syntagmatic levels





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Productivity continuum (cont.)

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Conclusions

- There are different levels of productive case inflection in Uralic languages
- The productivity of a case can be evaluated with reference to the analyzability of the form
 - Four different types of overlapping analyzability can be identified
 - The exact contribution of the different analyzabilities must be worked out by future research
- The analyzability of the forms form a continuum from maximally analyzable content noun inflection to maximally unanalyzable forms
 - The productivity decreases when paradigmatic analyzability decreases
 - Forms where paradigmatic variation is not possible can be considered lexical/grammatical



References

Bauer, Laurie 2001: *Morphological productivity*. Cambridge studies in linguistics 95. Cambridge: Cambridge University Press.

Taylor, John R. 2003: Cognitive linguistics. Oxford: Oxford University Press.